BEFORE THE ARIZONA STATE BOARD OF OSTEOPATHIC EXAMINERS IN MEDICINE AND SURGERY

IN THE MATTER OF:

LILLIAN D. PUMA, D.O.) STIPULATION FOR Holder of License No. 2836) CONSENT ORDER For the practice of Osteopathic) Medicine in the State of Arizona)

STIPULATION

By mutual agreement and understanding, the Arizona State Board of Osteopathic Examiners ("Board") and Lillian D. Puma, D.O. (hereinafter "Respondent"), the parties hereto agree to the following disposition of this matter.

- 1. Respondent acknowledges that she has read this Stipulation and the attached Stipulated Consent Order; and, Respondent is aware of and understands the content of these documents.
- 2. Respondent understands that by entering into this stipulation, she voluntarily relinquishes any right to a hearing in the matters alleged as ground for Board action or to seek judicial review of the consent order in sate or federal court.
- 3. Respondent understands that this Stipulation and Order will not become effective unless approved by this Board and signed by its Executive Director.
- 4. Respondent further understands that this Stipulation and Consent Order, once approved and signed, shall

constitute a public record which may be disseminated as a formal action of the Board.

- 5. Respondent admits to the facts and consents to all the terms contained in the Consent Order.
- 6. Respondent acknowledges and agrees that upon signing and returning this document to the Board, she may not later revoke or amend this stipulation or the consent order, without Board approval.
- 7. Any violation of said Board Order constitutes unprofessional conduct as defined in A.R.S. § 32-1855.

REVIEWED AND ACCEPTED this _2 day of U.T. ___, 1995.

Allei Diano D. D. Lillian D. Puma, D.O.

Reviewed and approved as to form by counsel for Respondent:

A. Craig Blakey, II, Attorney

REVIEWED AND SIGNED this At day of October, 1995, for the Board by:

Ann Marie Berger Executive Director

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BEFORE THE ARIZONA STATE BOARD OF OSTEOPATHIC EXAMINERS IN MEDICINE AND SURGERY

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IN THE MATTER OF:

LILLIAN D. PUMA, D.O.

Holder of License No. 2836

For the practice of Osteopathic

Medicine in the State of Arizona

CONSENT ORDER

STATEMENT OF THE FACTS

- 1. Information was brought to the attention of staff of the Arizona Board of Osteopathic Examiners in Medicine and Surgery (hereinafter "Board") on May 5, 1995 giving cause to believe that Lillian D. Puma, D.O. (hereinafter, "Respondent"), holder of license number 2836 for the practice of osteopathic medicine and surgery in the State of Arizona, may be physically and/or psychologically unable to safely and skillfully engage in the practice of medicine and may have committed one or more acts of unprofessional conduct as defined in A.R.S. § 32-1854.
- 2. The Respondent received treatment from May 3, 1995 to May 27, 1995 at Harmony Foundation in Estates Park, Colorado for chemical dependence. The Board has received and considered the medical records from Harmony Foundation reflecting diagnostic finding and recommendations for treatment, and other subsequent reports from Respondent's treating physicians and psychologist. The factual findings and medical history of Respondent set forth in said reports provide in part the evidentiary grounds for

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taking formal Board action in the form of the consent order set forth hereafter. Said reports are to be treated as a confidential and not available for public disclosure (pursuant to A.R.S. § 32-1855.03.D) except pursuant to court order.

- 3. Respondent appeared before the Board for an informal interview hearing on June 29, 1995, at which time the Respondent admitted to using Vicodin, a schedule III controlled substance, without receiving said drug in the course of regular medical treatment, as prescribed by her treating physician.
- 4. After conducting the informal interview hearing wit the Respondent on June 29, 1995, during a public meeting, the Board voted to direct the Board counsel to prepare a stipulated consent order, which was subsequently reviewed and accepted by Respondent and set forth hereinafter.

CONCLUSIONS OF LAW

- 1. The Board has jurisdiction of this matter pursuant to A.R.S. § 32-1800, et seq., as amended.
- 2. Based upon the substantial evidence presented to the Board, there is good and sufficient cause, pursuant to A.R.S. § 32-1861(D), to issue the Consent Order which follows hereafter.

CONSENT ORDER

Pursuant to the authority vested in the Board by A.R.S. \$32-1855(E)\$ and A.R.S. \$41-1061(D)\$ IT IS HEREBY ORDERED THAT:

1. Lillian D. Puma, D.O. (Respondent) is placed on probationary status and is allowed to practice osteopathic

medicine and surgery, subject to the following probationary conditions set forth in this Order in its entirety.

- a. Dr. Puma shall attend at least one individual counseling session (minimum duration one hour) per week with a mental health therapist (i.e., Arizona licensed psychiatrist, psychologist or certified mental health counselor) whose name shall be provided to the Board by letter from Respondent mailed within ten (10) days of issuance of this order.
- b. Respondent's participation and attendance at the physician recovery program provided by John W. Curtin, M.D. may be substituted for the aforementioned weekly meeting with the mental health therapist; but, said substitution may not occur more than twice in any month. Respondent shall maintain a written record of the dates and duration of her attendance at all of the aforementioned therapy sessions for review by the Board or its designated representative, upon request.
- c. Dr. Puma shall obtain and maintain an active sponsor for her participation in Narcotics Anonymous ("NA").
- d. Dr. Puma shall provide a copy of this Consent Order to the individual providing mental health therapy to her and her primary care physician; and, she shall promptly (i.e. within five (5) days) inform the Board by letter (sent U.S. certified mail) to the Board's Executive

Director of any change of mental health therapist or termination of therapy.

- e. Dr. Puma shall be subject to random bodily fluid testing for drugs at any time, with one (1) hour advance notice; and, said testing shall be ordered and coordinated at the discretion of the Board's executive director. Dr. Puma shall be responsible for paying for all expenses related to the aforementioned drug testing.
- f. Dr. Puma shall refrain completely from the consumption of alcohol.
- g. Dr. Puma shall not use any illicit substances (for example, cocaine or marijuana) or prescription only medications, unless such medications are prescribed by a licensed physician. Written documentation (e.g., photocopy of prescription or letter from prescribing physician) of all such prescriptions shall be provided to the Board's executive director within 72 hours or receipt by personal delivery or mailing by U.S. certified mail or express mail with accompanying letter from Respondent.
- h. Dr. Puma shall arrange to have written progress reports sent to the Board on a monthly basis by the mental health therapist providing therapy sessions to Dr. Puma.
- i. Dr. Puma shall attend at least four (4)"twelve-step" recovery meetings for at least one (1)

hour per meeting through Narcotics Anonymous; and,
Respondent shall maintain a written attendance log
stating the time, date, duration and location of each
meeting attended.

- j. Dr. Puma shall bear any and all costs associated with compliance with this order.
- k. Dr. Puma shall meet regularly with the Board, upon receipt of written notice to do so, to review her progress and compliance with the terms and conditions of this order.
- 2. Respondent may have her license to practice as an osteopathic physician restricted, suspended or revoked by the Board in the future \underline{if} :
 - (a) The Board finds that Respondent does not have the requisite mental, physical and emotional fitness to safely continue the practice of medicine; or,
 - (b) There are new grounds for finding unprofessional conduct concerning Respondent; or,
 - (c) Respondent fails to comply fully with conditions and terms of this Order.
- 3. In the event Respondent ceases to reside in Arizona, she shall give written notice by letter mailed to the Board of her new business and residence address within twenty (20) days of moving; and, the terms and duration of this Order may be stayed by the Board until Respondent returns to Arizona.

- 4. The terms and conditions of this Order shall remain effective for a minimum of four (4) years. Nevertheless, the Respondent may apply by letter to the Board for modification of the terms of this Order, after one year. Said request for modification must be based upon good cause and supporting evidence; but, any modifications shall be solely at the Board's discretion and not subject to judicial review or appeal.
- 5. Respondent shall authorize all of her health care providers (i.e., physicians or mental health counselors or treatment facilities) to release and disclose confidential information of any type to the Board upon written request from the Board's executive director.

ENTERED and effective this 140 day of Cetoria, 1995

ARIZONA STATE BOARD OF OSTEOPATHIC EXAMINERS IN MEDICINE AND SURGERY

Ann Marie Berger Executive Director

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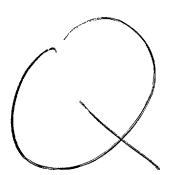
Lillian D. Puma, D.O.

A. Craig Blakey, II Attorney for Respondent

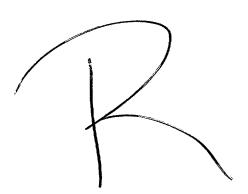
Michael Harrison Assistant Attorney General Board Counsel

____, 1995, to:

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